

# **Risk Disclosure Policy**

MAIV Limited

## Introduction

Maiv Limited (trade name: Rock-West) is a Company incorporated and registered under the laws of Seychelles with Company number 8425341-1. We are duly licensed as a securities dealer by the Financial Services Authority, with license number SD044, affirming our commitment to uphold the highest standards of regulatory compliance and integrity in our operations.

#### Risk Disclosure Scope

This Risk Disclosure Policy aims to outline the inherent risks associated with trading Contract for Differences (CFDs) and other financial instruments offered by Rock-West. It is pivotal to acknowledge that this document does not encapsulate all potential risks and their implications. The complexity and variability of financial markets mean that this policy cannot account for the personal circumstances of every client. Clients are thus urged to make informed decisions and consult independent advice when necessary. This policy is to be read in conjunction with the Client Agreement, which is available on our website.

#### Understanding the Risks

Engaging in trading relationships with Rock-West entails familiarity and acceptance of several risk factors, including but not limited to:

- Use of leverage Risk: Leveraged trading can amplify profits but also losses, leading to the potential loss of your initial deposit or more;
- **Volatility Risk**: Financial markets can exhibit rapid price fluctuations, affecting the value of your investments;
- **Market Risk**: The possibility that the value of investments could decrease due to market conditions;
- **Credit Risk**: The risk that a counterparty in a transaction may fail to fulfill its financial obligation;
- Liquidity Risk: The risk arising from the inability to execute a transaction at



a desired price due to insufficient market depth;

- **Technical/Platform Risk**: Issues with trading platforms or technological infrastructure can impact trading activities;
- **Third-Party Risk**: The risk that arises from relying on third-party services or products in the execution of trades.

The financial instruments offered by Rock-West are high-risk and traded on margin. They carry a significant risk of capital loss and may exhibit substantial price volatility. Consequently, these products might not be suitable for all investors. Clients are advised to seek independent advice to fully understand the risks involved.

### Inquiries

For any questions regarding this Risk Disclosure Policy or for further clarification on the risks associated with trading financial instruments offered by Rock-West, please reach out to us at <u>info@rock-west.com</u>. Our team is committed to providing the support you need to navigate the complexities of financial markets.

